Moscow, June 15-18, 2022

RuNNE-2022 Shared Task: Recognizing Nested Named Entities

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Abstract

The RuNNE Shared Task approaches the problem of nested named entity recognition. The annotation schema is designed in such a way, that an entity may partially overlap or even be nested into another entity. This way, the named entity "The Yermolova Theatre" of type ORGANIZATION houses another entity "Yermolova" of type PERSON. We adopt the Russian NEREL dataset (Loukachevitch et al., 2021) for the RuNNE Shared Task. NEREL comprises news texts written in the Russian language and collected from the Wikinews portal. The annotation schema includes 29 entity types. The nestedness of named entities in NEREL reaches up to six levels. The RuNNE Shared Task explores two setups. (i) In the general setup all entities occur more or less with the same frequency.(ii) In the few-shot setup the majority of entity types occur often in the training set. However, some of the entity types are have lower frequency, being thus challenging to recognize. In the test set the frequency of all entity types is even.

This paper reports on the results of the RuNNE Shared Task. Overall the shared task has received 156 submissions from nine teams. Half of the submissions outperform a straightforward BERT-based baseline in both setups. This paper overviews the shared task setup and discusses the submitted systems, discovering meaning insights for the problem of nested NER. The links to the evaluation platform and the data from the shared task are available in our github repository.

Keywords: nested named entity recognition, few-shot setup, shared task

DOI: 10.28995/2075-7182-2022-21-33-41

Соревнование RuNNE-2022: извлечение вложенных именованных сущностей

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Аннотация

Извлечение именованных сущностей — одна из самых востребованных на практике задач извлечения информации — предполагает поиск в тексте упоминаний имен, организаций, топонимов и других сущностей. Соревнование RuNNE посвящено задаче извлечения вложенных именованных сущностей. Разметка данных допускает следующие случаи: внутри одной именованной сущности находится другая именованная сущность. Так, например в сущность класса Organization "Московский драматический театр имени М. Н. Ермоловой" вложена сущность типа Person — "М. Н. Ермоловой". Соревнование проводится на материале корпуса NEREL(Loukachevitch et al., 2021), собранного из новостных текстов WikiNews на русском языке. В корпусе NEREL представлено 29 классов различных сущностей, а глубина вложенности сущностей достигает 6 уровней разметки.

В рамках соревнования RuNNE мы предлагаем участникам рассмотреть few shot постановку задачи. Задача предполагает извлечение вложенных именованных сущностей, В обучающем множестве большая часть типов именованных сущностей встречается достаточно часто, а некоторое количество специально отобранных типов – встречается всего несколько раз. В тестовом множестве все типы сущностей представлены одинаково. В данной статье мы описываем соренование RuNNE, подводим его итоги и проводим сравнение решений, полученных от участников.

Ключевые слова: извлеченние вложенных именованных сущностей, соревнование

1 Introduction

Named entity recognition is one of the most popular tasks in natural language processing. It involves labeling mentions of personal names, organizations, toponyms and other entities in the text. In early works, only "flat" named entities, in which internal named entities within a longer entity are not allowed, were annotated and extracted from texts. However, the assumption that all entities are flat oversimplifies the task and leads to annotation collision. For example, consider such entities as the "Ministry of Education of the Russian Federation" or the "State Duma of the Federal Assembly of the Russian Federation". In this case, there are two options of flat labeling: a) splitting into minimum spans ("Ministry of Education" and "Russian Federation"), or b) extracting maximal spans. The latter case would miss the mention of the *Russian Federation* as a separate entity. Both labeling approaches lead to loosing meaningful information pieces, which, in turn, can be useful for further processing, e.g. relation extraction.

In recent years, recognition of nested named entities has received special attention. In case of nested NEs a longer named entity can contain internal named entities. In this research direction, multiple new datasets were published (Plank et al., 2020; Ringland et al., 2019; Ruokolainen et al., 2019). The best performing methods for nested NER utilize specialized neural network architectures (Straková et al., 2019; Yu et al., 2020; Jue et al., 2020). A recent dataset for nested NER in Russian, NEREL, is annotated with 29 entity types (Loukachevitch et al., 2021).

The RuNNE shared task aims to popularize the nested NER task. To this end, we setup two sub-tasks based on the NEREL dataset: (i) the general nested NER evaluation; entities of more or less the same frequency are used (ii) the few-shot nested NER evaluation, where low-frequency entities are used. This paper (i) introduces the NEREL corpus (Section 3), (ii) reports the results of the RuNNE Shared Task (Section 5.1) and (iii) compares submitted systems (Section 5.2).

2 Related NER Datasets and Shared Tasks

Several datasets for named entity recognition in the Russian language are available, e.g. the Gareev's dataset (Gareev et al., 2013), Persons-1000 and Collection3 (Mozharova and Loukachevitch, 2016; Vlasova et al., 2014), FactRuEval (Starostin et al., 2016), the Russian subset of the BSNLP dataset (Piskorski et al., 2019), and RURED (Gordeev et al., 2020). In particular, FactRuEval and BSNLP datasets were used for corresponding shared tasks. FactRuEval published in 2016 has been the only Russian dataset annotated with nested named entities until recently. For example, a person object in FactRuEval could include name, surname, patronymic, and nickname spans. The dataset is rather small with approximately 250 news texts annotated with 11,700 spans and 7,700 objects. This limits its effectiveness in building large neural network models. Additional descriptions and statistics of Russian NER datasets can be found in (Loukachevitch et al., 2021).

In recent years, the NLP community has held multiple shared tasks on named entity recognition, tackling different aspects of the task:

• Multilinguality The series of SlavNER Shared Tasks (Piskorski et al., 2021) focuses on six Slavic languages; the MultiCoNER Shared Task (Malmasi et al., 2022) features 11 world languages. XTREME (Hu et al., 2020) and XGLUE (Liang et al., 2020) data suites are collections of tasks and corresponding datasets for evaluation of zero-shot transfer capabilities of large multilingual models from English to other languages. XGLUE adopts CoNLL-2002 NER (Tjong Kim Sang, 2002) and CoNLL-2003 NER (Tjong Kim Sang and De Meulder, 2003) datasets covering four languages (English, German, Spanish, and Dutch) and four entity types (Person, Location, Organization, and Miscellaneous). XTREME uses Wikiann dataset (Pan et al., 2017), where Person, Location and Organization entities were automatically annotated in Wikipedia pages in 40 languages.

- Complexity The MultiCoNER Shared Task (Malmasi et al., 2022) was devoted to extraction of semantically ambiguous and complex entities, such as movie and book titles in short and low-context settings.
- Applied domains The WNUT initiative aims at developing NLP tools for noisy user-generated text. To this end, they run multiple shared tasks on NER and relation extraction from social media (Nguyen et al., 2020; Chen et al., 2020). PharmaCoNER is aimed at clinical and medical NER(Gonzalez-Agirre et al., 2019).
- Languages, other than English The Dialogue evaluation campaign has supported two shared tasks for NER in Russian. FactRuEval dataset comprised news texts, Wikipedia pages and posts on social media, annotated according to a two-level schema. The RuReBUS Shared task (Ivanin et al., 2020) approached joint NER and relation extraction in Russian business-related documents.

3 NEREL Dataset

The NEREL collection is developed for studying three levels of information extraction methods including named entity recognition, relation extraction and entity linking (Loukachevitch et al., 2021). Currently, NEREL is the largest Russian dataset annotated with entities and relations compared to the existing Russian datasets. NEREL comprises 29 named entity types and 49 relation types. At the time of writing, the dataset contains 56K named entities and 39K relations annotated in 900+ person-oriented news articles. NEREL is annotated with relations at three levels: within nested named entities, within and across sentences. Entity linking annotations leverage nested named entities, and each nested named entity can be linked to a separate Wikidata entity.

The NEREL corpus consists mainly of Russian Wikinews articles having size of 1–5 Kb, as such medium-sized texts are more convenient for annotation. The BRAT tool (Stenetorp et al., 2012) was used for annotation. Three levels of annotation – named entities, relations, Wikidata links, – were performed as independent subsequent passes. NEREL dataset is publicly available. It is subdivided into train, dev, and test parts, which were used in the RuNNE evaluation. The training part was specially reduced for few-shot NER evaluation. Evaluation was carried out on the NEREL's dev and test sets. Table 1 contains examples of nested named entities in the NEREL dataset. Entity type statistics of the dataset used for the RuNNE competition are shown in Table 2. During the evaluation phase the NEREL github repository was temporarily closed.

4 RuNNE Task

The RuNNE competition offered two tasks: general nested named entity recognition and few-shot setting. In the training set, most named entity types occur quite often, and a certain number of specially selected types occur only a few times. In the test set, all entity types are represented equally.

As a quality metric in the RuNNE competition, macro averaging of the F1-measure is used in two versions: by classes of known entities (general formulation of the problem of extracting nested named entities) and by classes of new named entities (few-shot formulation). Three entity types annotated in the NEREL dataset were selected for the few-shot setting: DISEASE, WORK OF ART, PENALTY.

As a baseline we employed a RuBERT model (Kuratov and Arkhipov, 2019) with a fully connected output layer, predicting each of the classes in the data, from where only the flat most internal entities were taken. For named entity encoding the IOBES (Inside-Out-Begin-End-Single) scheme was used.

5 Evaluation

5.1 Participants' submissions

We have received 156 submissions from nine teams. Table 3 presents with the final scores of the submitted systems. Four out of nine systems outperformed the baseline. Below, we give an overview of these approaches.

Team **Ksmith** (**Pullenti**) achieved the best results by using a rule-based approach. Customized rules were written for every entity type (Kozerenko et al., 2018). This team made the highest number of entries to the leaderboard.

| Entity | Example | Annotation | | | | | | |
|-------------------|--|--|--|--|--|--|--|--|
| General entities | | | | | | | | |
| PROFESSION | governor of California head of Gazprom | [governor of [California] _{STATE_OR_PROVINCE}]PROFESSION [head of [Gazprom] _{ORGANIZATION}]PROFESSION | | | | | | |
| ORGANIZATION | physics department of Lomonosov Moscow State University Russian Government | [physics department of [[Lomonosov] _{PERSON} [Moscow] _{CITY} State University] _{ORG}] _{ORG} [[Russian] _{COUNTRY} government] _{ORG} | | | | | | |
| NATIONALITY | citizen of Russia Russians Russian writer | [citizen of [Russia] _{COUNTRY}] _{NATIONALITY} [Russians] _{NATIONALITY} [Russian] _{NATIONALITY} [writer] _{PROFESSION} | | | | | | |
| LAW | Yarovaya law article 84 of the Constitution of Kyrgyzstan | [[Yarovaya] _{PERSON} law] _{LAW} [article [84] _{ORDINAL} of the [[Constitution] _{LAW} COUNTRY] _{LAW}] _{LAW} | | | | | | |
| CRIME | complicity in murder of VGTRK journalists armed attack on passers-by attempt to oust Erdogan | [[complicity in murder] _{CRIME} of [[VGTRK] _{ORG} [journalists] _{PROFESSION}] _{CRIME} [[armed attack] _{CRIME} on passers-by] _{CRIME} [attempt to oust [Erdogan] _{PERSON}] _{CRIME} | | | | | | |
| PRODUCT | Boeing-737 MAX Apple Watch | [[Boeing] _{ORG} -[737] _{NUMBER} MAX] _{PRODUCT} [Apple] _{ORG} Watch]] _{PRODUCT} | | | | | | |
| AWARD | Merit for the Fatherland Order gold of the Olympic Games champion of the Olympic Games Miss Russia-2017 gold medal | [Merit for the Fatherland Order] _{AWARD} [gold of the [Olympic Games] _{EVENT}] _{AWARD} [champion of the [Olympic Games] _{EVENT}] _{AWARD} [Miss [Russia] _{COUNTRY} -[2017] _{DATE}] _{AWARD} [gold medal] _{AWARD} | | | | | | |
| EVENT | 40th Moscow International Film Festival UEFA champions league Sochi-2014 | [[40th] _{ORDINAL} [[Moscow] _{CITY} International Film Festival] _{EVENT} [EVENT [UEFA] _{ORG} champions league] _{EVENT} [[Sochi] _{CITY} -[2014] _{DATE}] _{EVENT} | | | | | | |
| Few-shot entities | | | | | | | | |
| PENALTY | \$129 million fine imprisonment for 3 years | [[\$129 million] _{MONEY} [fine] _{PENALTY}] _{PENALTY} [[imprisonment] _{PENALTY} for [3 years] _{DATE}] _{PENALTY} | | | | | | |
| DISEASE | died from Covid thyroid cancer | [[died] _{EVENT} from [Covid] _{DISEASE}] _{EVENT} [thyroid [cancer] _{DISEASE}] _{DISEASE} | | | | | | |
| WORK_OF_ART | the host of TV show "Vzglyad" | [the host of TV show ["Vzglyad"] _{WORK_OF_ART}] _{PROFESSION} | | | | | | |

Table 1: Examples of annotating nested named entities of different types

Team **Fulstock** (**MSU RCC**) applied the Machine reading comprehension model (MRC) (Li et al., 2020). The MRC model treats NER as a question-answering task. Entity types are translated into Russian. Next, their definitions are gathered from dictionaries in Russian and used as questions. The MRC model comprises three binary classifiers over the output of the last hidden layer from RuBERT. The first classifier determines the starting position of a named entity. The second classifier decides about the end position of a named entity (perhaps another) of the same class. The third classifiers classifies, weather the start-end pairs are a single entity. These classifiers are trained for each of the entity type.

Team **Abrosimov_Kirll (Saldon)** used the span-based Sodner model (Li et al., 2021) that can recognize both overlapped and discontinuous entities jointly. The model is based on the graph convolutional network architecture. It includes two steps. First, entity fragments are recognized by traversing over all possible text spans. Second, relation classification is implemented to judge to detect overlapping or succession. The team used a pre-trained RuBERT model as contextualized encoder and the Natasha syntax parser. Additionally, selected sentences for few-shot entity types were manually annotated.

https://github.com/natasha/slovnet#syntax

| Entity | train | dev | test | Entity | train | dev | test |
|-------------------|-------|-----|------|-------------|-------|------|------|
| PROFESSION | 4593 | 860 | 854 | IDEOLOGY | 300 | 36 | 43 |
| PERSON | 4518 | 947 | 961 | LOCATION | 272 | 64 | 62 |
| ORGANIZATION | 4059 | 616 | 675 | PRODUCT | 238 | 30 | 53 |
| EVENT | 2879 | 707 | 690 | CRIME | 181 | 64 | 35 |
| COUNTRY | 2521 | 355 | 456 | MONEY | 171 | 29 | 43 |
| DATE | 2276 | 527 | 523 | TIME | 154 | 29 | 47 |
| CITY | 1102 | 208 | 239 | DISTRICT | 98 | 18 | 25 |
| NUMBER | 1026 | 186 | 230 | RELIGION | 94 | 9 | 24 |
| ORDINAL | 565 | 102 | 107 | PERCENT | 82 | 9 | 7 |
| AGE | 554 | 137 | 138 | LANGUAGE | 43 | 7 | 8 |
| NATIONALITY | 394 | 59 | 66 | DISEASE | 32 | 117 | 57 |
| LAW | 392 | 77 | 62 | PENALTY | 32 | 57 | 18 |
| FACILITY | 371 | 84 | 63 | WORK_OF_ART | 30 | 104 | 93 |
| STATE_OR_PROVINCE | 343 | 99 | 112 | FAMILY | 17 | 7 | 14 |
| AWARD | 328 | 43 | 121 | Total | 27665 | 5587 | 5826 |

Table 2: NEREL dataset statistics for the RuNNE competition.

| User | Team | # of runs | \mid F1 _{full_set} | $\mathbf{F1}_{few-shot}$ | System Summary | | |
|---------------------|----------|-----------|--------------------------------------|--------------------------|-------------------------------|--|--|
| Baseline | | - | 0.674 | 0.447 | RuBERT | | |
| Participating Teams | | | | | | | |
| ksmith | Pullenti | 44 | 0.811 | 0.710 | Rule-based | | |
| abrosimov_kirill | Saldon | 20 | 0.741 | <u>0.644</u> | Sodner model, labelling | | |
| fulstock | MSU-RCC | 7 | 0.749 | <u>0.604</u> | MRC model | | |
| svetlan | | 23 | 0.607 | <u>0.572</u> | n/a | | |
| LIORI | | 6 | 0.653 | 0.433 | n/a | | |
| botbot | | 8 | 0.460 | 0.414 | n/a | | |
| bond005 | SibNN | 20 | 0.743 | 0.404 | Siemese network, Viterbi alg. | | |
| Stud2022 | | 24 | 0.477 | 0.395 | n/a | | |
| mojesty | | 2 | 0.619 | 0.172 | n/a | | |

Table 3: Macro-averaged F-scores on the official test sets. The best results are in bold. Results above the baseline are underlined.

Team **Bond005** (**SibNN**) fine-tuned a pre-trained BERT-based transformer two times. First, the contextualized encoder is fine-tuned as a Siamese neural network using the supervised contrastive learning loss. This step brings together embeddings of named entities of the same class and moves apart named entities of different classes. The second step fine-tunes the contextualized encoder for sequence labeling using a task-specific loss function. Finally, the team applied the Viterbi algorithm to smooth prediction probabilities. The team defined the transition probabilities manually.

5.2 Results

The results (Table 3) indicate that:

1. the conventional rule-based approach is capable of outperforming recent neural models. However, the rule-based approach requires careful configuration, leading to more attempts to submit to the leaderboard;

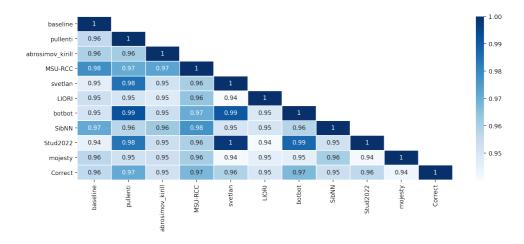


Figure 1: Agreement (Cohen's κ) among participating systems in annotating entity types. The "Correct" row corresponds to the test dataset annotations.

- 2. the MRC approach benefits from additional textual descriptions and outperforms other learnable approaches;
- 3. the few-shot setup benefits from additional manual labelling and hand-crafted rules.

5.3 Detailed comparison of systems

| Entity Type | baseln. | pullenti | abros. | MSU. | svetlan | LIORI | botbot | SibNN | Stud2022 | mojesty |
|--------------------|---------|----------|--------|------|---------|-------|--------|-------|----------|---------|
| ORGANIZATION | 23 | 21 | 28 | 21 | 20 | 18 | 17 | 29 | 14 | 24 |
| COUNTRY | 8 | 36 | 19 | 12 | 27 | 21 | 15 | 12 | 19 | 34 |
| WORK_OF_ART | 22 | 3 | 20 | 16 | 1 | 16 | 2 | 24 | 1 | 23 |
| STATE_OR_PROV. | 11 | 6 | 21 | 9 | 5 | 18 | 4 | 18 | 4 | 29 |
| EVENT | 10 | 9 | 17 | 8 | 20 | 7 | 5 | 13 | 15 | 8 |
| LOCATION | 9 | 8 | 12 | 8 | 6 | 18 | 8 | 5 | 6 | 10 |
| FACILITY | 6 | 9 | 11 | 11 | 5 | 7 | 2 | 10 | 3 | 10 |
| NATIONALITY | 8 | 2 | 6 | 7 | 8 | 10 | 2 | 9 | 5 | 7 |
| PRODUCT | 9 | 1 | 5 | 6 | - | 5 | 1 | 17 | - | 7 |
| CITY | 6 | 5 | 7 | 5 | 6 | 9 | 3 | 5 | 3 | 11 |
| PERSON | 12 | 2 | 11 | 4 | 5 | 7 | 1 | 6 | 2 | 8 |
| FAMILY | 9 | - | 10 | 6 | 2 | 7 | 1 | 8 | 1 | 7 |
| DISTRICT | 7 | 2 | 4 | 3 | 1 | 10 | 1 | 6 | 1 | 5 |
| AWARD | 8 | 1 | 9 | 3 | 2 | 3 | 1 | 4 | 1 | 5 |
| DISEASE | 2 | 3 | 1 | 3 | 5 | 3 | 2 | 6 | 4 | 7 |
| PROFESSION | 5 | 1 | 5 | 5 | 1 | 3 | - | 5 | 1 | 6 |
| Total span matches | 4401 | 4732 | 4948 | 4853 | 3511 | 4283 | 2363 | 4618 | 2361 | 4595 |

Table 4: Number of test instances with incorrectly recognized entity type. Top-16 entities cover approximately 85% of all mismatches. The last row shows the number of correctly recognized spans for each system. Symbol '-' means that all system's predictions were correct. All calculations were performed for the *full_set* test set.

We have compared solutions of participating systems in two aspects. First, we compare errors in entity type annotations given a span of a named entity was correctly detected. Second, we calculate pairwise agreements of systems' answers, again only for the annotations that have exact match of spans. Both evaluations are done on the test set. In Table 4 we provide top entities for which systems give wrong entity type prediction. We found that around a half of errors come from six entity types: ORGANIZATION, COUNTRY, WORK_OF_ART, STATE_OR_PROVINCE, EVENT, and LOCATION. The most common mismatches are the following (correct entity is on the left of the '→' sign):

- ORGANIZATION \rightarrow FACILITY / PRODUCT / CITY,
- COUNTRY \rightarrow NATIONALITY / ORGANIZATION,
- WORK OF ART \rightarrow ORGANIZATION / LAW / EVENT,
- STATE OR PROVINCE \rightarrow CITY / COUNTRY / LOCATION,
- EVENT \rightarrow ORGANIZATION / CRIME / DISEASE,
- LOCATION \rightarrow STATE OR PROVINCE / CITY/ COUNTRY.

To assess pairwise agreements between systems, we build lists of spans that have exactly matching boundaries, but may have different type annotations. Then we calculate Cohen's κ for each pair of systems (Fig. 1). Depending on a pair of systems, the number of matching spans varies between 1,294 and 5,826. Due to this difference, values in the Figure 1 slightly deviate from the ranking of the systems. Three teams, showing mediocre performance (**svetlan**, **botbot** and **Stud2022**) have highest agreement with each other. Also these three teams have the highest agreement with the **pullenti** team. This indicates that these systems learn named entity patterns, which can be described by rules, too. Other systems may gain more generalization abilities and thus are less correlated with the rule-based system. Finally, the main source of errors for all approaches may be attributed to ambiguous or complex cases.

6 Conclusion

In this paper we described the RuNNE Shared Task, aimed at extracting nested named entities from texts in Russian. We used NEREL (Loukachevitch et al., 2021) as a source dataset. RuNNE comprises two sub-tasks: (i) the general nested NER evaluation and (ii) the few-shot nested NER evaluation. Comparing submissions of the RuNNE participants, we found that the classic rule-based approach is capable to outperform recent neural models. However, it requires a special framework for writing rules and iterative improvement of rules, leading to more attempts in leaderboard submits. In both subtasks, the best model among machine learning approaches applied without additional manual data labeling was Machine Reading Comprehension model (Li et al., 2020).

Acknowledgments

The project is supported by the Russian Science Foundation, grant # 20-11-20166. The experiments were partially carried out on computational resources of HPC facilities at HSE University (Kostenetskiy et al., 2021) and the shared research facilities of HPC computing resources at Lomonosov Moscow State University. Ekaterina Artemova was supported by the framework of the HSE University Basic Research Program.

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